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UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	X	
In re	: Chapter 11 Case No.	
LEHMAN BROTHERS HOLDINGS INC., et al.,  Debtors.	: 08-13555 (SCC) : (Jointly Administered)	
AFFIDAVIT OF	,	
STATE OF NEW YORK ) ss.: COUNTY OF NEW YORK )		
LAUREN RODRIGUEZ, being duly sworn, deposes and	says:	
1. I am employed by Epiq Bankruptcy Solutions, LLC, I New York 10017. I am over the age of eighteen year captioned action.		
2. On January 5, 2016, I caused to be served the "Notice to Federal Rule of Bankruptcy Procedure 3001(e)(2) hereto as <a href="Exhibit A">Exhibit A</a> , by causing true and correct copic transferee, transferor and claim information for the an enclosed securely in separate postage pre-paid enveloped to the parties listed on the attached <a href="Exhibit B">Exhibit B</a> .	or (4)," a sample of which is attached es to be: 1) personalized with the bove-referenced docket numbers, 2)	
3. All envelopes utilized in the service of the foregoing "LEGAL DOCUMENTS ENCLOSED. PLEASE DI ADDRESSEE, PRESIDENT OR LEGAL DEPART	RECT TO ATTENTION OF	
Sworn to before me this 15 <sup>th</sup> day of January, 2016 /s/ Cassandra Murray Notary Public, State of New York	/s/ Lauren Rodriguez Lauren Rodriguez	
No. 01MU6220179		

Qualified in Queens County Commission Expires April 12, 2018

### **EXHIBIT A**

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LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

## NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or othewise being assigned the claim.

To:

In re

SERENGETI OPPORTUNITIES MM L.P.
TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE
C/O SERENGETI ASSET MANAGEMENT LP
ATTN: ERIN FINEGAN
632 BROADWAY, 12TH FLOOR
NEW YORK, NY 10012

Please note that your claim # 59598-05 in the above referenced case and in the amount of \$0.00 allowed at \$566,189.25 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000101315272 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000172097



CANTOR FITZGERALD SECURITIES
TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P.
ATTN: NILS HORNING
110 E. 59TH STREET
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However

IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST,
WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT Southern District of New York

One Bowling Green

New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51300 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 01/05/2016 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 5, 2016.

#### **EXHIBIT B**

# LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 52200 Filed 02/24/16 Entered 02/24/16 18:32:31 Main Document

Claim Name Address Information	
ABN AMRO BANK N.V.	ATTN: SOPHIE DUVILLIER, LEGAL DEPT/FINANCIAL MARKETS TEAM INTERNAL, CODE: PAC
	AH2107 / J91-E1-05B, FOPPINGADREEF 26, AMSTERDAM 1102 CX NETHERLANDS
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: CREDIT SUISSE AG, ATTN: PATRIK ROOS, BAHNOFSTRASSE 36, ZURICH
	CH-8010 SWITZERLAND
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
CANTOR FILEGERALD SECURITIES	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
CANTOR FILLGERALD SECURITIES	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
CANTOR FILLGERALD SECURITIES	STREET, NEW YORK, NY 10022
CANTOD ETT7GEDAID SECTIONTIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
CANTOR FITZGERALD SECURITIES	STREET, NEW YORK, NY 10022
GANTON DITTEGRAND OF GROUPITHING	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH
CANTOR FITZGERALD SECURITIES	STREET, NEW YORK, NY 10022
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH STREET, NEW YORK, NY 10022
CANDOD DIEGODALD CROUDING	
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH STREET, NEW YORK, NY 10022
GANTON DIEGODALD ORGANIZATIO	
CANTOR FITZGERALD SECURITIES	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P., ATTN: NILS HORNING, 110 E. 59TH STREET, NEW YORK, NY 10022
CDEDIE OUTGOD AG	
CREDIT SUISSE AG	PARADEPLATZ 8, ZURICH CH-8001 SWITZERLAND
CREDIT SUISSE AG	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH
	AVENUE, NEW YORK, NY 10019
CREDIT SUISSE AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY
	10019
CREDIT SUISSE AG	ATTN: RICHARD LEVIN, JENNIFER BOSHAKOVA, CRAVATH SWAINE & MOORE LLP, 825 8TH
	AVENUE, NEW YORK, NY 10019
LEHMAN BROTHERS HOLDINGS INC.	TRANSFEROR: ABN AMRO BANK N.V., ATTN: DERIVATIVES LEGAL, 1271 AVENUE OF THE
	AMERICAS, 40TH FLOOR, NEW YORK, NY 10020
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE, C/O SERENGETI ASSET MANAGEMENT
	LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN
	ROGERS, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: THE ROYAL BANK OF SCOTLAND, PLC, C/O SERENGETI ASSET MANAGEMENT
	LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
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	LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: GOLDMAN SACHS & CO., C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN
	FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
	TRANSFEROR: ROYAL BANK OF SCOTLAND, PLC, THE, C/O SERENGETI ASSET MANAGEMENT
SERENGETI OPPORTUNITIES MM L.P.	

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Claim Name	Address Information
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS LP, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY
SERENGETI OPPORTUNITIES MM L.P.	10012  TRANSFEROR: SERENGETI OVERSEAS LTD, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: S CHOUDHURY; 632 BROADWAY, 12TH FL, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: BARCLAYS BANK PLC, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN ROGERS, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI OPPORTUNITIES PARTNERS, LP, C/O SERENGETI ASSET MANAGEMENT LP; ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FL, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI PARTNERS LP, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI PARTNERS LP, C/O SERENGETI ASSET MANAGEMENT LP, ATTN: ERIN FINEGAN, 632 BROADWAY, 12TH FLOOR, NEW YORK, NY 10012

**Total Creditor Count 33**